

Federal Railroad Administration

Summary Description of Closed Violations Resulting from Focused Safety Oversight Inspections on CSX Transportation, Inc. January 19-22, 2007

<u>Violation Category</u>	<u>Code of Federal Regulations Section (49 CFR) / Defect Code or Computer Code</u>	<u>Closed</u>	<u>Violation Description</u>
Accident Reporting	<u>225.25(h)</u>	<u>1</u>	Failure to Post at an Establishment an Injury Reported as Occurring at the Establishment
	Subtotal	1	
Engineer Qualifications	<u>240.223(a)</u>	<u>1</u>	Locomotive Engineer Certificate Criteria--Improper Certificate
	Subtotal	1	
Freight Car Safety Standards	215.103(b)(1)	7	Defective Wheel--Flange height of 1 1/2 " or greater but less than 1 5/8"
	215.115(a)(3)	1	Defective Roller Bearing--Seal is loose or damaged or permits leakage of lubricant
	215.119(c)(1)	1	Defective Freight Car Truck--Side bearing(s) assembly missing or broken
	215.121(b)(2)	1	Defective Car Body--Car center sill is cracked more than 6"
	215.123(b)	2	Defective Couplers--Crack in highly stressed junction area
	215.123(d)	1	Defective Couplers--Coupler knuckle pin or thrower that is missing or inoperative
	215.125	1	Defective Uncoupling Device
	<u>215.127(d)</u>	<u>1</u>	Defective Draft Arrangement--Vertical coupler pin retainer plate missing or has missing fastener
	Subtotal	15	
Grade Crossing Signal System Safety	<u>234.211</u>	<u>1</u>	Maintenance Standards: Locking of Warning System Apparatus
	Subtotal	1	
Locomotive Inspection	229.7	1	Safety deficiencies not governed by specific regulations--violation of 49 U.S.C. 20701--not "in proper condition and safe to operate without unnecessary danger of personal injury"
	229.41	1	Protection Against Personal Injury
	229.45	2	General Conditions
	229.65	3	Spring Rigging
	229.65(c)	1	Spring Rigging--Shock absorber broken or leaking

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	229.75(a)(2)	1	Wheels and Tire Defects--Slid flat or shelled spots--one spot 3" or more in length		
	229.89(a)	2	Jumpers and Cable Connections--Locked and guarded		
	229.91	1.5	Motor and Generators		
	229.119(c)	0.5	Floors, passageways, and compartments		
	229.119(c)	3	Floors, passageways, and compartments		
	<u>229.119(f)</u>	<u>1</u>	Cabs, Floors, and Passageways--Containers for fuses and torpedoes		
	Subtotal	17			
Rear End Marking Devices	<u>221.13(a)</u>	<u>1</u>	Marking Device Display--Device not present, not displayed, or not properly illuminated		
	Subtotal	1			
Railroad Operating Practices	218.22(b)	1	Blue Signal Protection of Workers--Utility employees--concurrent service		
	<u>218.22(d)</u>	<u>1</u>	Blue Signal Protection of Workers--Utility employees--improper release of utility employee		
	Subtotal	2			
Radio Standards and Procedures	<u>220.35</u>	<u>2</u>	Ending a Radio Transmission		
	Subtotal	2			
Hazardous Materials	172.504(a)	2	Failure to placard properly		
	174.24(a)	2	Accepting hazardous material shipment without properly prepared shipping paper.		
	174.26(a)	10	Train crew does not have a document indicating position in train of each rail car containing hazardous material.		

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	174.26(b)	22	Improper paperwork in possession of train crew.		
	174.50	4	Forwarding a bulk packaging (e.g., a tank car) that no longer conforms to the hazmat regulations without first repairing the defect.		
	174.85(a)	1	Improper Train Placement		
	174.85(d)	1	Improper Train Placement		
	Subtotal	42			
Safety Appliance	231.110B2	1	Hand Brake Inefficient		
	231.124A2	1	Running Board Broken or Decayed		
	231.126B1	1	End Platform or Brace Bent		
	231.130A2	3	Sill Step or Additional Tread Broken		
	231.130A3	1	Sill Step or Additional Tread Loose		
	231.130B1	4	Sill Step or Additional Tread Bent		
	231.136A2	7	Ladder Tread or Handhold--Broken		
	231.136B1	2	Ladder Tread or Handhold--Bent to the extent that it may be unsafe		
	231.136C2	4	Ladder Tread or Handhold--Having wrong clearance		
	231.136C3	1	Ladder or Handhold--Improperly located		
	231.136C5	1	Ladder Tread without Footguards		
	231.138C1	1	Hand or Safety Railing Improperly applied		
	231.140B1	1	Uncoupling Lever Bent Will not safely and reasonably function as intended		
	232.103(f)	4	All Train Brake Systems--Improper use of car with inoperative or ineffective brakes		
	232.103(f)(1)	2	All Train Brake Systems--Improper use of car with inoperative or ineffective brakes		
	232.103(n)(1)	7	All Train Brake Systems--Securement of unattended equipment--Failure to apply sufficient number of handbrakes; failure to develop or implement procedure to verify number applied		
	232.103(n)(3)	2	All Train Brake Systems--Securement of unattended equipment--Failure to apply hand brake on locomotive		
	232.205(c)(2)	1	Class I Brake Test--Initial Terminal Inspection--Partial failure to perform inspection		

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	232.205(c)(3)	2	Class I Brake Test--Initial Terminal Inspection--Partial failure to perform inspection		
	<u>232.205(c)(5)</u>	<u>1</u>	Class I Brake Test--Initial Terminal Inspection--Failure to properly adjust piston travel (per car)		
	Subtotal	47			
Signal Inspection	233.7	1	Signal Failure Reports		
	236.59	1	Track Circuits--Insulated Rail Joints		
	<u>236.74</u>	<u>1</u>	Protection of Insulated Wire; Splice in Underground Wire		
	Subtotal	3			
Track Safety Standards					
	213.63	1	Track Surface		
	213.109(b)	1	Crossties--Distribution of ties		
	213.109(f)	1	Crossties--Centerlines for Track Class 1 through Class 5		
	213.113	4	Defective Rails		
	213.121(c)	1	Rail Joints		
	213.133	1	Turnouts and Track Crossings Generally		
	Subtotal	9			
	Grand Total	141			